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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/719,441	11/20/2003	Yumin Liu	SYMYX/8DIVCO	2966
1473	7590 05/23/2005		EXAMINER	
FISH & NEAVE IP GROUP ROPES & GRAY LLP			NGUYEN, TAM M	
1251 AVENUE OF THE AMERICAS FL C3			ART UNIT	PAPER NUMBER
NEW YORK, NY 10020-1105			1764	<del>.</del>

DATE MAILED: 05/23/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
	10/719,441	LIU, YUMIN			
Office Action Summary	Examiner	Art Unit			
	Tam M. Nguyen	1764			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status					
1) Responsive to communication(s) filed on 18 Ja	nuary 2005.				
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,	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.				
Disposition of Claims					
4) ☐ Claim(s) 109-116 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.  5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) 109-116 is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and/or election requirement.					
Application Papers					
9)☐ The specification is objected to by the Examiner.					
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date					
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)</li> <li>Paper No(s)/Mail Date 3/15/04.</li> </ul>		te atent Application (PTO-152)			

U.S. Patent and Trademark Office PTOL-326 (Rev. 1-04)

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#### **DETAILED ACTION**

### Response to Amendment

The rejection of claim 109 under 35 USC § 102(b) anticipated by McCain 94,524,236) is withdrawn by the examiner in view of the response filed on January 8, 2005.

Since a new non-final rejection flows, applicants' arguments will not be addressed.

## **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 109-116 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 72-83 of U.S. Patent No. 6,417,422.

Although the conflicting claims are not identical, they are not patentably distinct from each other because both sets of claims claim a process of preparing alkene from an alkane feedstock by using a Ni catalyst. The Patented claimed set does not specifically disclose that the reaction zone is maintained at a temperature ranging from about 200 to 350° C. However, the patented claimed set claims that the reaction temperature ranges from about 200 to 500° C. It would have been obvious to one having ordinary skill in the art at the time the invention was made to have

modified the process of the claimed set by operating the process at a temperature of from 200 to 350° C because one skill in the art would operate the process at any temperature of from 200 to 500° C including the overlapped temperatures.

Claims 109-111 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 9 and 41 of U.S. Patent No. 6,355,845.

Although the conflicting claims are not identical, they are not patentably distinct from each other because both sets of claims claim a process of preparing alkene from an alkane feedstock by using a Ni catalyst. The Patented claimed set does not specifically disclose that the reaction zone produces alkene in a molar concentration of at least about 5% relative to total moles of hydrocarbon. However, the process of the Patented claimed is essentially the same as the present claimed process in terms of feedstock and catalyst. It would be expected that the dehydrogenation product would have a molar concentration of alkene as claimed.

Claims 109-111 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 24 and 56 of copending Application No.09/815,914. Although the conflicting claims are not identical, they are not patentably distinct from each other because both sets of claims claim a process of preparing alkene from an alkane feedstock by using a Ni catalyst. The co-pending claimed set does not specifically disclose that the reaction zone produces alkene in a molar concentration of at least about 5% relative to total moles of hydrocarbon. However, the process of the Patented claimed is essentially the same as the present claimed process in terms of feedstock and catalyst. It would be expected that the dehydrogenation product would have a molar concentration of alkene as claimed.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claim109 is rejected under 35 U.S.C. 103(a) as being unpatentable over McCain (4,524,236)

McCain discloses an oxydehydrogenation process to convert alkanes (e.g., ethane) to alkenes (e.g., ethylene) by contacting the alkanes, in the presence of oxidizing agent, with a catalyst comprising nickel. The reaction has a selectivity of greater than 50%. Since the reaction has a conversion of greater than 60%, the product would have a concentration of alkenes greater than 5 % relative to total moles of hydrocarbon. (See abstract; col. Tables 1 and 2)

McCain does not disclose that the feedstock comprises at least 5 % of alkene.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the process of McCain by using a feedstock comprising at least about 5 % of alkene as claimed because McCain teaches that the feedstock comprises less than 5 vol. % of alkene. Therefore, one of skill in the art would use a feedstock comprising about 5 molar % of alkene because it would be expected that small change in the amount of alkene in the feedstock would not affect the outcome of the process.

Claims 109-111 are rejected under 35 U.S.C. 103(a) as being unpatentable over McCain (4,524,236) in view of Ramachandran et al. (5,043,461)

McCain does not disclose that the feedstock comprises at least 5 molar % of alkene and does not disclose a step of adding or recycling alkene to the reaction zone.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the process of McCain by co-feeding a C<sub>2</sub>-C<sub>4</sub> alkene to the reaction zone as taught by Ramachandran (See Fig. 4-7; col. 4, lines 6-7) because Ramachandran discloses that the step of recycling alkene to the reaction zone would increase the overall process efficiency. Consequently, the process of McCain would have the feedstock comprising at least 5% of alkene.

Claims 112-116 are rejected under 35 U.S.C. 103(a) as being unpatentable over McCain (4,524,236) in view of either Ellis (6,548,697), Ramachandran et al. (5,043,461), or Durante et al. (5,439,859).

McCain does not disclose a second reaction zone, does not disclose a step of controlling the concentration of oxygen in the first and second reaction zone.

Ellis, Durante, and Ramachandran disclose a process for producing alkene by utilizing multiple reaction zones. (See Elilis col. 6, lines 20-35; col. 9, lines 53-55; Durante col. 5, lines 33-35; Ramachandran figs. 5-7)

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the process of McCain by utilizing a second reaction zone as taught by either Ellis, Ramachandran or Durante because using additional reaction zone would improve the over all conversions of the process.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the modified process of McCain by controlling the concentration of oxygen in the first and second reaction zones as claimed because the process of McCain is operated at high conversions and high selectivity as claimed. Therefore, it is within the level of one having ordinary skill in the art to control the concentration of oxygen so that the process is maintained at high conversions and high selectivity.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tam M. Nguyen whose telephone number is (571) 272-1452. The examiner can normally be reached on Monday through Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Caldarola can be reached on (571) 272-1444. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Tam M. Nguyen Examiner Art Unit 1764

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